



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 16, 2002 in an envelope as First Class Mail, addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Pat Tate

Attorney Docket No.: CISC041C1

First Named Inventor: HOWES, et al

Application No. 09/954,507

**COPY OF PAPER
ORIGINALLY FILED**

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:

**RICHARD A. HOWES
EDWARD C. KERSEY
BRUCE F. WONG
JAMES A. JORDAN
WILLIAM M. LEBLANC
ANDREW L. FOSS**

02/15/2002 SMINASS1 00000027 500685 09954507

01 FC:115

110.00 DP

For: **METHOD AND APPARATUS FOR MAINTAINING CONNECTION STATE BETWEEN A CONNECTION MANAGER AND A FAILOVER DEVICE**

Application Elements:



Declaration



Newly executed (original or copy)



Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.



Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)



Return Receipt Postcard

- ☐ Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and desired.
- ☐ Other:

Amendments

- ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	<u>SMALL ENTITY</u>		<u>OR</u>	<u>LARGE ENTITY</u>	
	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>		<u>RATE</u>	<u>FEE</u>
BASIC FEE				\$355 \$	OR		\$710 \$
TOTAL CLAIMS	_____ -20 = _____			x9 = \$	OR		x18 = \$
INDEP CLAIMS	_____ -03 = _____			x40 = \$	OR		x80 = \$
[] Multiple Dependent Claim Presented				\$130 = \$	OR		\$260 = \$
* If the difference in Col. 1 is less				Total \$	OR		Total \$
than zero, enter "0" in Col. 2.							

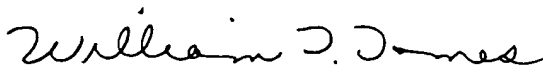
- ☒ Applicants petition for a one (1) month extension of time to respond under 37 CFR § 1.136(a).
- ☒ Check No. 407 in the amount of \$1030.00 is enclosed.

General Authorizations

- ☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0685 (Order No. CISCPO41C1).
- ☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. CISCPO41C1).
- ☒ Please send correspondence to the following address:

Customer No. 21912
VAN PELT & YI LLP
4906 El Camino Real, Suite 205
Los Altos, CA 94022

Date: _____



William J. James
Reg. No. 40,661

#3



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/954,507	09/12/2001	Ricard A. Howes	CISCP041C1

CONFIRMATION NO. 9058

21912
RITTER VAN PELT & YI, L.L.P.
4906 EL CAMINO REAL
SUITE 205
LOS ALTOS, CA 94022

FORMALITIES LETTER



OC000000006995016

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 10/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/15/2002 SHINASS1 00000027 500685 09954507

02 FC:105		130.00 OP
03 FC:102		84.00 OP
04 FC:101	34.00 CH	706.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$80.
 □ \$80 for 1 independent claims over 3 .
- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 920.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.


The required item(s) identified below must be timely submitted to avoid abandonment:

- o The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

Repln. Ref: 05/07/2002 VTRUOH81 0010033700
DAH:500685 Name/Number:09954507
FC: 704 \$84.00 CR

*A copy of this notice **MUST** be returned with the reply.*

Adjustment date: 05/07/2002 VTRUOH81
02/15/2002 SHINASS1 00000027 09954507
03 FC:102 -84.00 OP



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE